



# Regulatory Defense

A Specialty Service  
from Roanoke

## A Prepaid Legal Defense Contract Available Exclusively to Our Clients

With the government's renewed emphasis on enforcement, Customs Brokers, Freight Forwarders and other intermediaries should set their strategic offensive in place.

### What is Regulatory Defense?

Regulatory defense is a legal service exclusively available to Roanoke's Customs Broker, Freight Forwarder and other Intermediary clients through our partnership with Sandler, Travis & Rosenberg, P.A. (STR).

This prepaid legal defense contract provides access to consultation and legal assistance should one of the following potential claims arise from any major government agency or department. With this service, you will have the representation needed to dispose of or mitigate the action.



**Administrative Fines**



**Liquidated damages or penalties claim**



**License revocation and/or suspension proceeding**



**Letters of investigation initiated against you or your company**

### How it Works

Upon notification that a fine or penalty has been levied against you or your company, the attorneys will discuss and analyze the case with you and petition the appropriate agency for relief or mitigation on your behalf.

### Case Summaries and STR Action

#### Antidumping & Countervailing Duties

Customs and Border Protection (CBP) issued a penalty against a customs broker for failing to appropriately classify merchandise and file the entries as type "03" designating them as antidumping. After filing a petition, supplemental petition and offer in compromise, CBP drastically reduced its claim to a few hundred dollars.

#### Intellectual Property & Product Admissibility

CBP detained counterfeit sneakers and sent formal requests to the customs broker to confirm whether they had verified the powers of attorney from the importer and to assess the broker's level of contact with their customers.

Because of the broker's responses to the information requests, CBP provided the broker only with a warning along with suggestions on how to validate powers of attorney in the future.



## Regulatory Defense Contract Options

Basic and Expanded contracts are available to address claims from the following agencies and departments.

	Basic Contract	Expanded Contract
Bureau of Industry and Security	✓	✓
Regulatory Defense Contract Options	✓	✓
Federal Maritime Commission	✓	✓
Federal Motor Carrier Safety Administration	✓	✓
Hazardous Materials Transportation Act	✓	✓
Transportation Security Administration	✓	✓
US Census Bureau	✓	✓
US Customs and Border Protection	✓	✓
Alcohol and Tobacco Tax and Trade Bureau (Treasury)		✓
Alcohol, Tobacco, Firearms and Explosives Bureau (Justice Department)		✓
Consumer Product Safety Commission		✓
Department of Commerce		✓
Department of State (including Directorate of Defense Trade Controls) and related agencies		✓
Department of Treasury (including Office of Foreign Assets Controls)		✓
Environmental Protection Agency		✓
Federal Aviation Administration (limited to TSA violations)		✓
Federal Communications Commission		✓
Federal Railroad Administration		✓
Fish and Wildlife Service		✓
Food and Drug Administration		✓
United States Department of Agriculture (including APHIS and FSIS)		✓
US Coast Guard as respects SOLAS regulations		✓

## Your Single Source for Surety Bond, Insurance and ATA Carnet Solutions

Our comprehensive suite of products and services include:

### Bond Solutions

- Customs Bonds
- Transportation Related Bonds
- ATA Carnet Bonds
- FastBond™

### Insurance Solutions

- Marine Cargo
- Errors and Omissions
- Cargo Legal Liability
- Commercial Property and Casualty
- CoverageDock™
- PolicyTrack™

### ATA Carnet Solutions

- ATA Carnet
- Carnet Cargo Insurance
- Lost Document Coverage
- CarnetsOnline™



1.800.ROANOKE



infospot@roanokegroup.com



www.roanokegroup.com